

111103

16085 U.S. PTO

The PTO did not receive the following  
listed item(s) Fee Transmittal

Please type a plus sign (+) inside this box ☒

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	11846.001
First Inventor	Burke H. Scott
Title	Hand Held Illuminated Safety Signal
Express Mail Label No.	ER 384635131 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 11]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_/\_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

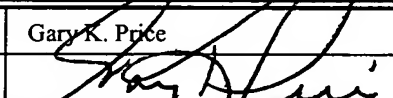
**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

28309

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Gary K. Price, Esq., BOWERS HARRISON, LLP				
Address	25 N. W. Riverside Drive P.O. Box 1287				
City	Evansville	State	TN	Zip Code	47706-1287
Country	USA	Telephone	(812) 426-1231	Fax	(812) 464-3676

Name (Print/Type)	Gary K. Price	Registration No. (Attorney/Agent)	45,024
Signature		Date	11/7/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

22581 U.S. PTO  
10/706562

111103

# BOWERS HARRISON LLP

ATTORNEYS AT LAW

25 N.W. RIVERSIDE DRIVE  
P.O. BOX 1287  
EVANSVILLE, IN 47706-1287  
TELEPHONE: (812) 426-1231  
FACSIMILE: (812) 464-3676  
WEBSITE: www.bowersharrison.com  
E-MAIL: gkp@bowersharrison.com

WRITER'S PHONE: (812) 491-8236

JOSEPH H. HARRISON (1)  
PAUL E. BLACK  
ARTHUR D. RUTKOWSKI  
PAUL J. WALLACE  
DAVID L. JONES  
DAVID E. GRAY  
THOMAS A. MASSEY  
KEITH E. ROUNDER  
GREG A. GRANGER  
JOSEPH H. HARRISON, JR.

CHRISTOPHER L. LUCAS  
GARY K. PRICE\*  
JAY A. ZIEMER (2)  
SARA HARRISON ZEIDLER  
HOLLY WILHELMUS ZIEMER  
KELLY A. LONNBERG\*\*  
MARK F. WARZECHA (3)  
JONATHAN D. WEINZAPFEL\*\*\*  
CORY A. KUHLENSCHMIDT

OF COUNSEL:  
F. WESLEY BOWERS  
GENE E. BROOKS  
(U.S. DISTRICT COURT  
JUDGE, RETIRED)  
KEITH M. WALLACE (4)

LAWRENCE L. GRIMES  
(1953 - 2000)

ALSO ADMITTED IN:

1. DISTRICT OF COLUMBIA
2. ILLINOIS
3. NEW YORK and NEW JERSEY
4. KENTUCKY

\* REGISTERED PATENT ATTORNEY  
\*\*CERTIFIED FAMILY LAW SPECIALIST  
\*\*\*MAYOR-ELECT,  
CITY OF EVANSVILLE, INDIANA

***Via Express Mail: ER 384635131 US***

November 10, 2003

Honorable Asst. Commissioner of Patents & Trademarks  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RE: Non-Provisional Patent Application  
Title: Hand Held Illuminated Safety Signal  
Our Client No. 11846.001

Dear Gentlemen:

Please be advised that this office represents the inventor and owner of the above-referenced application.

Enclosed herewith please find:

1. The Non-Provisional Patent Application for  
the Hand Held Illuminated Safety Signal (11 pages);
2. Drawing (3 pages);
3. Utility Patent Application Transmittal;
4. Inventor's Small Entity Status Statement;
5. Oath;
6. Power of Attorney; and
7. This office's check in the amount of \$385.00, such sum representing the  
Non-Provisional Patent Application.

I also enclose a stamped postcard for return mailing purposes.

Should you have any questions regarding the foregoing, please do not hesitate to contact the undersigned at the letterhead address.

Sincerely,

BOWERS HARRISON, LLP

Gary K. Price

GKP/tjs  
Enclosures  
cc: Burke H. Scott